PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney Docket No. UTILITY AEG001 PATENT APPLICATION First Inventor TRANSMITTAL Title (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Labe APPLICATION ELEMENTS ADDRESS TO. P.U. Box 1450 Alexandria VA 22313-1450 See MPEP chapter 600 concerning utility patent application contents. CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) [Total Pages 13 Specification Computer Readable Form (CRF) (preferred arrangement set forth below) - Descriptive title of the invention b. Specification Sequence Listing on: - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D following CD-ROM or CD-R (2 copies); or - Reference to sequence listing, a table, or a computer program listing appendix Paper - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) Statements verifying identity of above copies - Detailed Description ACCOMPANYING APPLICATION PARTS - Claim(s) - Abstract of the Disclosure Assignment Papers (cover sheet & document(s)) 4. Drawing(s) (35 U.S.C. 113) [Total Sheets \_\_\_ Power of 10. **[** 37 CFR 3.73(b) Statement (when there is an assignee) Attorney 5. Oath or Declaration English Translation Document (if applicable) 11 a. X Newly executed (original or copy) Copies of IDS 12. Information Disclosure Statement (IDS)/PTO-1449 Citations Copy from a prior application (37 CFR 1.63(d)) 13. L **Preliminary Amendment** (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) 14. 😨 (Should be specifically itemized) **DELETION OF INVENTOR(S)** not 15. Certified Copy of Priority Document(s) ŝ Signed statement attached deleting inventor(s) (if foreign priority is claimed) name in the prior application, see 37 CFR 16. Nonpublication Request under 35 U.S.C. 122 did 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 17. Other: ..... sted 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: ..... Prior application information: Examiner\_ Art Unit: For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS **Customer Number:** OR X Correspondence address below Name FORTUNE LAW GROUP 100 Century Center Court Suite 315 Address Zip Code 95112 City State <del>San Jose</del> Country Telephone 408 436 Name (Print/Type)

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Signature

Registration No. (Attorney/Agent)

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## FEE TRANSMITTAL for FY 2003

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541

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** 

Name (Print/Type)

Signature

Jingming Car

Complete if Known				
Application Number	not assigned			
Filing Date	09/19/03			
First Named Inventor	Bin Lu			
Examiner Name	not assigned			
Art Unit	not assigned			
Attorney Docket No.	3EC001			

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)						
X Check Credit card Money Other None	3. ADDITIONAL FEES						
Deposit Account:		Large Entity   Small Entity					
Deposit Account	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Number	1051	130	2051	65	Surcharge - late filing fee or oath		
Deposit Account Name	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		
The Director is authorized to: (check all that apply)		130	1053	130	Non-English specification		
Charge fee(s) indicated below Credit any overpayments		2,520	1812	2,520	For filing a request for ex parte reexamination		
Charge any additional fee(s) during the pendency of this application		920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
FEE CALCULATION		110	2251	55	Extension for reply within first month		
1. BASIC FILING FEE	1252	410	2252	205	Extension for reply within second month		
Large Entity Small Entity	1253	930	2253	465	Extension for reply within third month		
Fee Fee Fee Fee Description Fee Paid	1254	1,450	2254	725	Extension for reply within fourth month		
Code (\$) Code (\$) 1001 750 2001 375 Utility filing fee	1255	1,970	2255	985	Extension for reply within fifth month		
1002 330 2002 165 Design filing fee	1401	320	2401	160	Notice of Appeal		
1003 520 2003 260 Plant filing fee	1402	320	2402	160	Filing a brief in support of an appeal		
1004 750 2004 375 Reissue filing fee	1403	280	2403	140	Request for oral hearing		
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 375	1452	110	2452		Petition to revive - unavoidable		
		1,300	2453	650	Petition to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE  Extra Claims Total Claims Independent Claims Multiple Dependent  - 3** =		1,300	2501	650	Utility issue fee (or reissue)		
		470	2502	235	Design issue fee		
		630	2503	315	Plant issue fee		
		130	1460	130	Petitions to the Commissioner		
		50	1807	7 50	Processing fee under 37 CFR 1.17(q)		
Large Entity   Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt		
Code (\$) Code (\$)	8021	40	8021	I 40	Recording each patent assignment per property (times number of properties)	40	
1202 18 2202 9 Claims in excess of 20	1809	750	2809	375	Filing a submission after final rejection		
1201 84 2201 42 Independent claims in excess of 3					(37 ČFR 1.129(a))		
1203 280 2203 140 Multiple dependent claim, if not paid 1204 84 2204 42 ** Reissue independent claims	1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))		
over original patent		750	2801	375	Request for Continued Examination (RCE)		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent		900	1802	900	Request for expedited examination of a design application		
SUBTOTAL (2) (\$) 126	Other	Other fee (specify)					
**or number previously paid, if greater; For Reissues, see above	*Redu	iced by	Basic I	Filing F	ee Paid SUBTOTAL (3) (\$) 40		
SUBMITTED BY (Complete (if applicable))							

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Registration No.

(Attorney/Agent)

Telephone

Date

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